

Rebate Grants Program

Repower Project Application

TCEQ-20332b
Version 18.01



ELIGIBLE COUNTIES

Austin Area: Bastrop, Caldwell, Hays, Travis, and Williamson Counties

Beaumont-Port Arthur Area: Hardin, Jefferson, and Orange Counties

Corpus Christi Area: Nueces and San Patricio Counties

Dallas-Ft. Worth Area: Collin, Dallas, Denton, Ellis, Henderson, Hood, Hunt, Johnson, Kaufman, Parker, Rockwall, Tarrant, and Wise Counties

El Paso Area: El Paso County

Houston-Galveston-Brazoria Area: Brazoria, Chambers, Fort Bend, Galveston, Harris, Liberty, Montgomery, and Waller Counties

San Antonio Area: Bexar, Comal, Guadalupe, and Wilson Counties

Tyler-Longview Area: Gregg, Harrison, Rusk, Smith, and Upshur Counties

Victoria Area: Victoria County

Eligible Applicants:

Eligible applicants include individuals, corporations, organizations, governments or governmental subdivisions or agencies, business trusts, partnerships, associations, or any other legal entity. This may include a corporation headquartered outside of the state of Texas, but which operates equipment or vehicles primarily in an eligible county in Texas.

Eligible Activities:

This category is for the replacement (repower) of an existing diesel engine on an on-road heavy-duty vehicle or a non-road heavy-duty piece of equipment with a new, rebuilt, or remanufactured engine powered by diesel, natural gas, propane, or electricity. The new engine must be certified to emit at least 25% less NOx than the engine being replaced. Refer to the tables provided on the Rebate Grants Program webpage for a list of on-road vehicle weight categories and types of non-road equipment eligible for funding.

Application Deadline:

This application form is only valid for the application period ending February 13, 2018 or subsequent end date if the application period is extended. Applications are selected for funding on a first-come, first-served basis. Only one vehicle or piece of equipment is allowed per application. Separate applications are required for each additional vehicle or piece of equipment. No more than 10 applications per applicant may be submitted.

Regular Postal Delivery

Texas Commission on Environmental Quality
Air Quality Division
Implementation Grants Section (Rebate), MC-204
P.O. Box 13087
Austin, TX 78711-3087

Express Delivery or Hand Delivery

Texas Commission on Environmental Quality
Air Quality Division
Implementation Grants Section (Rebate), MC-204
12100 Park 35 Circle
Building F, 1st Floor, Room 1301
Austin, Texas 78753



www.terpgrants.org

TCEQ USE ONLY
Application #

TCEQ USE ONLY
Contract #

**Texas Commission on Environmental Quality (TCEQ)
Texas Emissions Reduction Plan (TERP)**

**Rebate Grant Application
Repower of Heavy-Duty Vehicle/Equipment**

Form 1: Signature Page

1. Applicant Legal Name:

2. Primary Area for the Project: Please check the area where the repowered vehicle/equipment will be operated the greatest percentage of its total annual miles or hours of operation.

Austin Area (Bastrop, Caldwell, Hays, Travis, and Williamson Counties):

Beaumont-Port Arthur Area (Hardin, Jefferson, and Orange Counties):

Corpus Christi Area (Nueces and San Patricio Counties):

Dallas-Ft. Worth Area (Collin, Dallas, Denton, Ellis, Henderson, Hood, Hunt, Johnson, Kaufman, Parker, Rockwall, Tarrant, and Wise Counties):

El Paso Area (El Paso County):

Houston-Galveston-Brazoria Area (Brazoria, Chambers, Fort Bend, Galveston, Harris, Liberty, Montgomery, and Waller Counties):

San Antonio Area (Bexar, Comal, Guadalupe, and Wilson Counties):

Tyler-Longview Area (Gregg, Harrison, Rusk, Smith, and Upshur Counties):

Victoria Area (Victoria County):

3. Are you requesting a Hurricane Harvey exception? Refer to Appendix E of the RFGA for more information. Applicants applying under the Hurricane Harvey exception must complete and submit Supplemental Form 1: Hurricane Harvey Exception.

Yes:

No:

4. Authorized Official: The Authorized Official is the applicant or an employee of the applicant who has the legal authority to sign on behalf of the entity.

I hereby certify that to the best of my knowledge and belief all information provided in this application and any attachments is true and correct. If the application was prepared by a third party, I certify that I have read the complete application after all forms and information were completed, I agree with the information provided, and the date provided below is the date I signed the form. I further understand that prior to incorporating this information into a grant contract the data and information may be revised by the TCEQ for accuracy and that the acceptance of a grant contract will constitute agreement with those revisions. Failure to sign the application or signing it with a false statement may make the submitted offer or any resulting contracts voidable.

Printed Name of Authorized Official:

Authorized Official's Title:

Faxed or photocopied signature pages will not be accepted. The application, with an original signature, must be received by the application deadline or the application will not be accepted.

Signature of Authorized Official:

Date of Signature:

Intentional falsification of these forms will be prosecuted to the extent allowed under the law and may be used as an adverse factor in future grant selection decisions.

Upon submission, all proposals become the property of the State of Texas and as such become subject to the Texas Public Information Act, V.T.C.S. art. 6252-17a.

Personal Information Policy: Individuals are entitled to request and review their personal information that the agency gathers on its forms. Individuals may also have any errors in their information corrected. To review such information, contact the TCEQ TERP program at 1-800-919-TERP (8377).

Do NOT alter forms. Altered forms will be void.

This form is only valid for the application period ending February 13, 2018.

Rebate Grant Application
Replacement of Heavy-Duty Vehicle/Equipment
Form 2: Third-Party Preparer Signature Page

1. Was this application prepared by a third-party? A Third-Party Preparer is someone who is assisting in the preparation of the grant application, but who is not related to or a current employee of the applicant. Please check "Yes" or "No" below.

Yes:		No:	
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If you checked "Yes" in Section 1, the Third-Party Preparer must complete and sign Section 2 below.

If you checked "No" in Section 1, you may continue to Form 3: Contact Information.

2. Third-Party Preparer Certification. I hereby certify that to the best of my knowledge and belief all information provided in this application and any attachments is true and correct, as represented to me by the applicant. I understand that failure to sign the application or signing it with a false statement may make the submitted offer or any resulting contracts voidable.

Printed Name (include Mr. or Ms.):	
Title:	
Company Name:	
Address:	
City, State, Zip Code:	
Phone Number:	
E-Mail Address:	
Signature of Third-Party Preparer:	
Date of Signature:	

Faxed or photocopied signature pages will not be accepted. This form must have an original signature, or the application will not be accepted.

Rebate Grant Application
Repower of Heavy-Duty Vehicle/Equipment
Form 3: Contact Information

1. Authorized Official: The Authorized Official is the applicant or an employee of the applicant who has legal authority to sign on behalf of the entity.

A. Name and Title: Please provide the name and title of the Authorized Official below.

Prefix:		First:		MI:		Last:		Suffix:	
Title:									

B. Mailing Address: Please provide the Authorized Official's address for the delivery of USPS mail below.

Street Line 1:										
Street Line 2:										
City:				State:				Zip Code:		

C. Physical Address: Please provide the Authorized Official's address for the overnight delivery of mail below.

Check here if the physical address is the same as the mailing address, and then continue to Section 1(D).

Street Line 1:										
Street Line 2:										
City:				State:				Zip Code:		

D. Contact Information: Please provide the Authorized Official's contact information.

Primary Phone:		Secondary Phone:	
Fax Number:		E-Mail Address:	

2. Designated Project Representative: The Designated Project Representative is the applicant or an employee of the applicant who will serve as the point of contact for this application.

Check here if the Designated Project Representative is the same as the Authorized Official, and then continue to Section 3.

A. Name and Title: Please provide the name and title of the Designated Project Representative below.

Prefix:		First:		MI:		Last:		Suffix:	
Title:									

B. Mailing Address: Please provide the Designated Project Representative's address for the delivery of USPS mail below.

Street Line 1:										
Street Line 2:										
City:				State:				Zip Code:		

C. Physical Address: Please provide the Designated Project Representative's address for the overnight delivery of mail below.

Check here if the physical address is the same as the mailing address, and then continue to Section 2(D).

Street Line 1:										
Street Line 2:										
City:				State:				Zip Code:		

D. Contact Information: Please provide the Designated Project Representative's contact information below.

Primary Phone:		Secondary Phone:	
Fax Number:		E-Mail Address:	

3. Designated Location for Records Access and Review by the TCEQ or its Representative: Please provide the physical address where records relating to this project may be accessed and reviewed below.

Street Line 1:										
Street Line 2:										
City:				State:				Zip Code:		

Rebate Grant Application
Repower of Heavy-Duty Vehicle/Equipment
Form 4: Program-Specific Certifications

By signing this form, the applicant indicates its understanding of and agreement to adhere to the identified program-specific requirements. The applicant hereby assures and certifies compliance with all state statutes, regulations, policies, guidelines, and requirements as they relate to the application, acceptance, and use of funds for this project. The applicant further understands, assures, and/or certifies to the conditions listed below unless a waiver or exception is approved by the TCEQ.

- 1. Operation.** The vehicle/equipment has been continuously located and used in Texas for the preceding two years.
- 2. Condition.** The vehicle/equipment is currently in good operating condition and capable of performing its primary function in routine operation at the time of signature. To the best of the applicant's knowledge, the vehicle/equipment is capable of continuing to perform its primary function for at least the period of the designated Activity Life, taking into account normal maintenance, repairs, and upkeep.
- 3. Continued Operation and Use.** If the grant funds were not available, the applicant expects to otherwise continue to operate the vehicle/equipment in Texas for at least the period of the designated activity life and the applicant otherwise would not have planned to replace the vehicle/equipment. If the vehicle is currently leased, the lease agreement extends through the period of the designated activity life or the lease includes a binding commitment to purchase.
- 4.** To the best of the applicant's knowledge, the proposed activities are not required by any state or federal law, rule, or regulation, memorandum of agreement, or other legally binding document.
- 5.** The applicant understands that any marketable credits under state or federal emissions reduction credit averaging, banking, or trading programs, that may be generated by the proposed activities, are transferred to the state implementation plan or permanently retired, and may not be used by the applicant. If the project is funded, the applicant waives, for all time, its right to claim emissions reduction credits which may accrue during the activity life as a result of the use of the low-emission technology which is funded under this program, and agrees not to apply for any such credits based on reductions generated in the eligible counties. Credits that accrue after the end of the activity life are not transferred, but may not be used to calculate the cost-effectiveness of the project.
- 6.** All public financial incentives that will be used by the applicant that directly offset the costs of the proposed activities, including tax credits or deductions, other grants, or any other public financial assistance have been properly listed where indicated on the application forms and the incentive amounts requested reflect a reduction in the eligible incremental costs based on the value of those incentives.
- 7.** The applicant understands that failure to achieve the NOx emissions reductions projected to be achieved for this project may result in the TCEQ requiring the return of all or a share of the grant funds. Achievement of the emission reductions will be based on the grant equipment being used for the annual amount of hours, miles, or fuel use that occurs in the eligible counties, as designated in the application for that activity.
- 8.** The applicant understands that failure to operate the grant equipment for the annual usage and percentage of annual use in the eligible counties as designated in the application may be considered non-compliance with the grant agreement and may result in the TCEQ requiring return of all or a share of the grant funds.
- 9.** The applicant will monitor the use of grant-funded vehicles, equipment, or infrastructure, and report semi-annually to the TCEQ over the designated activity life. If a TCEQ-approved GPS system is installed, the applicant agrees to maintain and use that system and to verify the data reported in accordance with the provisions of the grant contract.
- 10.** The applicant will notify the TCEQ of any termination of use, change in use, sale, transfer, or destruction of grant-funded vehicles or equipment, during the activity life. The applicant further agrees that, during the activity life, the TCEQ may be entitled to the return of all or a share of the grant funds for any loss of emissions reductions compared with the emissions reductions projected in awarding the grant.
- 11.** The applicant will maintain, for the term of the activity, property loss insurance or self-insurance coverage on any vehicles, equipment, or infrastructure acquired, leased, repowered, retrofitted, or constructed using these funds, sufficient to cover the costs of reimbursing the state for its pro rata share of the activity costs.

Signature of Authorized Official:		Date:	
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Faxed or photocopied signature pages will not be accepted. This form must have an original signature, or the application will not be accepted.

Rebate Grant Application
Repower of Heavy-Duty Vehicle/Equipment
Form 5: General Certifications (Page 1)

This section serves to assure the TCEQ that you understand and agree to the statements. These provisions relate to the basic contract form which will be in force between the applicant and the TCEQ upon award of a grant. TCEQ urges applicants to download a copy of the example grant contract from www.terpgrants.org and review it so that any questions can be discussed early in the application review process. By signing this application, the applicant assures and certifies that:

- 1. Legal Authority.** It possesses legal authority in the State of Texas to apply for the grant and that the applicant's governing body has authorized the filing of the application, including all understandings and assurances contained therein, and directing and authorizing the person identified as the authorized official to act in connection with the application and to provide such additional information as may be required.
- 2. Uniform Grant Management Standards.** It will comply with the Uniform Grant Management Standards (UGMS), adopted June 2004 by the Texas Comptroller of Public Accounts in accordance with Chapter 783, Texas Government Code. This document is available at: <http://www.window.state.tx.us/procurement/catrad/ugms.pdf>
- 3. Procurement of Goods and Services.** In procuring goods and services, it will comply with Part II. Cost Principles for State and Local Governments and Other Affected Parties and Part III. State Uniform Administrative Requirements for Grants of the UGMS. All procurement transactions will be conducted in a manner providing full and open competition.
- 4. Historically Underutilized Businesses (HUBs).** Qualified HUBs, as defined and designated under state law, shall have the maximum practicable opportunity to participate in the performance of the work arising out of this project.
- 5. Conflict of Interest.** Applicant has not given, offered to give, nor intends to give at anytime hereafter, any economic opportunity, future employment, gift, loan gratuity, special discount, trip, favor, or service to a public servant in connection with the submitted application. Under Government Code § 2155.004, no person involved in the preparation of the Request for Grant Applications may have any financial interest in this application. If applicant is not eligible, then any contract resulting from this application shall be immediately terminated. Furthermore, under Section 2155.004, Government Code, the applicant certifies that the individual or business entity named in this bid or contract is not ineligible to receive the specified contract and acknowledges that this contract may be terminated and payment withheld if this certification is inaccurate.
- 6. Grant Administration.** It will maintain an appropriate grant administration system to ensure that all terms, conditions, and specifications of the grant, including these certifications and assurances, are met.
- 7. Audit.** Pursuant to Section 2262.154 of the Texas Government Code, the state auditor may conduct an audit or investigation of the vendor or any other entity or person receiving funds from the state directly under this contract or indirectly through a subcontract under this contract. The acceptance of funds by the applicant or any other entity or person directly under this contract or indirectly through a subcontract under this contract acts as acceptance of the authority of the state auditor, under the direction of the legislative audit committee, to conduct an audit or investigation in connection with those funds. Under the direction of the legislative audit committee, the applicant or other entity that is the subject of an audit or investigation by the state auditor must provide the state auditor with access to any information the state auditor considers relevant to the investigation or audit. Applicant will ensure that this clause concerning the authority to audit funds received indirectly by subcontractors through the vendor and the requirement to cooperate is included in any subcontract it awards.
- 8. Debt to the State.** It is not indebted to the state or has an outstanding tax delinquency. It further understands that the Texas Comptroller is precluded by law from paying a person who is indebted to the state or has a tax delinquency. The applicant must comply with all State and Federal tax laws and fee requirements and is solely responsible for filing all State and Federal tax and fee forms.

Continued on next page

Rebate Grant Application
Repower of Heavy-Duty Vehicle/Equipment
Form 5: General Certifications (Page 2)

10. Grant Contract. It understands that a copy of the grant contract shell is available from the TCEQ, including a copy posted on the TCEQ's web site at www.terpgrants.org. It further understands that the TCEQ will not normally change the contract language to deal with individual requests from grant recipients.

11. Contracting with an Executive of a State Agency. Under Government Code § 669.003, relating to contracting with an executive of a state agency, Applicant represents that no person who, in the past four years, served as an executive of the Texas Commission on Environmental Quality (TCEQ) or any other state agency, was involved with or has any interest in this Application. If Applicant employs or has used the services of a former executive head of TCEQ or other state agency, then Respondent shall provide the following information: name of former executive, name of state agency, date of separation from state agency, position with Applicant, and date of employment with Applicant.

12. Debarment. Applicant certifies that the applying entity and its principals are eligible to participate in this transaction and have not been subjected to suspension, debarment, or similar ineligibility determined by any federal, state or local governmental entity and that Respondent is in compliance with the State of Texas statutes and rules relating to procurement and that Respondent is not listed on the federal government's terrorism watch list as described in Executive Order 13224. Entities ineligible for federal procurement are listed at <http://www.epls.gov>.

13. Hurricane Katrina and Other Natural Disasters. Under Section 2155.006(b) of the Texas Government Code, a state agency may not accept a bid or award a contract, including a contract for which purchasing authority is delegated to a state agency, that includes proposed financial participation by a person who, during the five-year period preceding the date of the bid or award, has been: (1) convicted of violating a federal law in connection with a contract awarded by the federal government for relief, recovery, or reconstruction efforts as a result of Hurricane Rita, as defined by Section 39.459, Utilities Code, Hurricane Katrina, or any other disaster occurring after September 24, 2005; or (2) assessed a penalty in a federal civil or administrative enforcement action in connection with a contract awarded by the federal government for relief, recovery, or reconstruction efforts as a result of Hurricane Rita, as defined by Section 39.459, Utilities Code, Hurricane Katrina, or any other disaster occurring after September 24, 2005. Under Section 2155.006 of the Texas Government Code, the applicant certifies that the individual or business entity named in this Application is not ineligible to receive the specified contract and acknowledges that any contract resulting from this RFGA may be terminated and payment withheld if this certification is inaccurate.

14. The applicant has not been adjudicated during the preceding three-year period to have committed substantive, non-clerical violations resulting in an actual release of hazardous waste that presented an imminent and substantial danger to the public health and safety or the environment.

15. Applicant, nor any of its officers, have been adjudicated by a court of law to have violated the Texas Deceptive Trade Practices Act.

Rebate Grant Application
Repower of Heavy-Duty Vehicle/Equipment
Form 6: Payee Information

1. Applicant Legal Name:			
2. Payee Identification Number (PIN): Provide one of the numbers requested below to be used as your PIN.			
A. Social Security Number (SSN) Provide your SSN if you are applying as an Individual or Sole Owner.			
B. Federal Employer's Identification (FEI) Number Provide your FEIN if you are applying as a company or other entity.			
3. Texas Taxpayer Number: Enter your Texas Taxpayer Number if you are currently reporting any Texas tax to the Comptroller's Office other than unemployment (e.g., sales tax, franchise tax).			
4. Ownership Code (Business Type): Identify the ownership structure of your business by checking the appropriate box.			
I - Individual (not owning a business):		L - Limited Partnership:	
S - Sole Owner (individual owning a business):		Texas File #:	
Owner's Name:		T - Texas or Limited Liability Corporation:	
Owner's SSN:		Texas File #:	
P - Partnership:		A - Professional Association:	
Name:		Texas File #:	
SSN/FEI#:		C - Professional Corporation:	
Name:		Texas File #:	
SSN/FEI#:		O - Out-of-State Corporation:	
G - Governmental Entity:		U - State Agency/University:	
N - Other (explain):			
5. Business Description: Provide a description of your business below.			
6. Is the Applicant a Small Business? Under this program, a Small Business is defined, in part, as a person who owns and operates five or fewer vehicles or pieces of equipment. (See Section 2.0 of the RFGA for the complete Small Business criteria.) Please check the box "Yes" or "No".			
Yes:		No:	

Rebate Grant Application

Repower of Heavy-Duty Vehicle/Equipment

Form 7: Certification of Eligibility

All applicants must complete this form to certify eligibility to receive a grant under this program, regardless if child support obligations apply to the applicant. Failure to submit this form may result in rejection of the application.

Certification Regarding Child Support Obligations

Under Section 231.006, Texas Family Code, a child support obligor who is more than 30 days delinquent in paying child support and a business entity in which the obligor is a sole proprietor, partner, shareholder, or owner with an ownership interest of at least 25% is not eligible to receive a state-funded grant or loan. All applicants must include in the application the name and social security number of the individual or sole proprietor and each partner, shareholder, or owner with an ownership interest of 25% of the business entity submitting the application.

FEDERAL PRIVACY ACT NOTICE: This notice is given pursuant to the Federal Privacy Act. Disclosure of your Social Security Number (SSN) is required under Section 231.006(c) and Section 231.302(c)(2) of the Texas Family Code. The SSN will be used to identify persons that may owe child support. The SSN will be kept confidential to the fullest extent allowed under Section 231.302(e), Texas Family Code.

Please check one of the following applicant options.

1. Individual or sole owner:	
2. One or more individuals own 25% or more of the business entity:	
3. No individual owns 25% or more of the business entity:	
4. Governmental entity:	

If option 1 or 2 is checked, list the names(s) and social security numbers(s) (SSN) below.

Name:		SSN:	
Name:		SSN:	
Name:		SSN:	
Name:		SSN:	

I certify that to the best of my knowledge and belief that the individual or business entity submitting this application is eligible to receive a grant. I acknowledge that the grant contract may be terminated and any payments withheld if this certification is inaccurate.

Signature of Authorized Official:

Date:

Faxed or photocopied signature pages will not be accepted. This form must have an original signature, or the application will not be accepted.

Rebate Grant Application
Repower of Heavy Duty Vehicles and Equipment
Form 8: Vehicle/Equipment Information

1. Emission Source. Please select the emission source by checking the appropriate box.

On-Road Vehicle:		Non-Road Equipment:	
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2. Vehicle/Equipment Information

Vehicle or Equipment Being Repowered

Description: i.e. haul truck, excavator, etc.	
Identification Number: VIN or Equipment ID	
Make:	
Model or Model Number:	
Model Year:	
Gross Vehicle Weight Rating: on-road vehicles only	

3. Engine Information

Old Engine

New Engine

Engine Make:		
Engine Model or Model Number:		
Engine Identification Number:		
Engine Model Year:		
Engine Horsepower Rating (bhp): non-road equipment only		
Fuel Type:		
Engine Family Code: 12-digit emissions code		
Federal NO_x Emissions (g/bhp-hr):		

4. Historical Use of Vehicle/Equipment being Repowered

Miles (on-road vehicle): List average annual use for the preceding two years	
Hours (non-road equipment): List average annual use for the preceding two years	
How many total miles/hours are indicated on the odometer/hour meter?	
Is the odometer/hour meter working? Enter Yes or No	

Rebate Grant Application
Repower of Heavy Duty Vehicle/Equipment
Form 9: Vehicle/Equipment Cost Data

1. Incremental Cost/Cost to Applicant Calculation

The incremental cost is the cost to purchase and install the replacement engine and associated equipment, minus the scrap value of the old engine. The costs to purchase and install a Global Positioning System (GPS) to track and log the location and use of the vehicle may be included in the incremental cost calculation. Refer to Section 3.0 of the RFGA for eligible costs.

Capital Cost Engine (A): Invoice cost of new the engine, including taxes, duty, protective in-transit insurance and freight charges.	
Additional Equipment (B): Invoice cost of additional equipment with a per unit acquisition cost of \$5,000 or more and is necessary for the completion of the repower.	
Installation (C): Installation cost, including the cost to remove and dispose of the old engine, if needed. Installation cost may include costs to re-engineer the vehicle for the new engine to fit. Technical design, testing, and other engineering services required as part of the installation work should also be listed under this category.	
Miscellaneous Supplies (D): Invoice cost of equipment and materials not included as part of the engine with an acquisitions costs of less than \$5,000 that are necessary for the repower.	
Global Positioning System (GPS) (E):	
Scrappage Value (F): The TCEQ will use a default scrap value of \$250	\$250.00
Other Financial Incentives and Tax Credits (G):	
Incremental Cost/Cost to Applicant (H): (A + B + C + D + E - F - G = H)	

2. Grant Amount Calculation

The grant recipient may be eligible for reimbursement of up to 80% of the incremental cost of the purchase and installation of the replacement engine, not to exceed an incentive amount that results in a cost per ton of NO_x reduced of \$17,500 or less.

Incremental Cost (Cost to Applicant) (H):	
Eligible Costs (Incremental Costs (E) X 0.8) (I):	
Rebate Grant Amount from Table (J): Please refer to the tables & instructions on the TERP website at www.terpgrants.org	

3. Requested Rebate Grant Amount

Requested Rebate Grant Amount The Requested Rebate Grant Amount should be the lesser of the Eligible Costs (I) or the Rebate Grant Amount from Table (J).	
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4. Other Financial Incentives and Tax Credits

Describe any other financial assistance to be used for the repower, such as tax credits or deductions, other grants, or any other public financial assistance. This does not include the amount you finance through a bank or other third-party to repower the equipment.

5. Has the repower been completed?

Yes:		No:	
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6. Procurement Process

Explain in the box below the process used (or to be used) to select the replacement engine, associated equipment, and repower provider. One (1) bid or quote is required to be attached to the application. The bid or quote must include the applicant's name and be signed by a dealer.

Rebate Grant Application
Repower of Heavy Duty Vehicle/Equipment
Form 10: Percentage of Annual Usage

1. Activity Life. The Activity Life is the time period that is used to determine the emissions reductions and cost effectiveness of the activity. Please select an activity life of five or seven years.

5 Years:		7 Years:	
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2. Percentage (%) of Annual Usage in the Eligible Areas. The applicant must commit to using the repowered vehicle/equipment at least 75% of its annual mileage or hours of operation in the eligible areas. Designate the percentage of total annual miles or hours of operation that will take place in one or more of the eligible areas below.

% of Annual Usage Austin Area: Bastrop, Caldwell, Hays, Travis, and Williamson Counties	
% of Annual Usage Beaumont-Port Arthur Area: Hardin, Jefferson, and Orange Counties	
% of Annual Usage Corpus Christi Area: Nueces and San Patricio Counties	
% of Annual Usage Dallas-Fort Worth Area: Collin, Dallas, Denton, Ellis, Henderson, Hood, Hunt, Johnson, Kaufman, Parker, Rockwall, Tarrant, and Wise Counties	
% of Annual Usage El Paso Area: El Paso County	
% of Annual Usage Houston-Galveston-Brazoria Area: Brazoria, Chambers, Fort Bend, Galveston, Harris, Liberty, Montgomery, and Waller Counties	
% of Annual Usage San Antonio Area: Bexar, Comal, Guadalupe, and Wilson Counties	
% of Annual Usage Tyler-Longview Area: Gregg, Harrison, Rusk, Smith, and Upshur Counties	
% of Annual Usage-Victoria Area: Victoria County	
Total :	

3. Usage Description

On-Road Vehicle Travel Description: Please describe your typical route, including the following: a) Daily, weekly or monthly trips; b) Cities traveled between; and c) Highways traveled.

Non-Road Equipment: Please list the job site or location where the equipment is operating.

Rebate Grant Application
Repower of Heavy Duty Vehicle/Equipment
Form 11: Disposition Of Engine Being Repowered

1. Are you proposing an alternative method of destruction other than the method listed in Section 4.0 of the RFGA ?

Yes:		No:	
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Explain the alternative method of destruction in the box below. If needed, please attach additional pages.

Rebate Grant Application
Repower of Heavy Duty Vehicle/Equipment
Form 12: Vehicle/Equipment Certification

This form is to be completed and signed by a mechanic qualified to assess the condition of the vehicle/equipment. The mechanic may not be an employee of the applicant, unless approved by the TCEQ.

Information on the Vehicle/Equipment being Repowered.

The VIN number or Equipment ID must match the number listed on Form 8.

Vehicle/Equipment Identification Number:

I, the undersigned, have inspected the vehicle/equipment noted above. The engine starts and runs properly and the vehicle/equipment is in good operating condition, capable of performing routine business activity. In my professional opinion, the vehicle/equipment is able to perform the functions normally expected for this type of vehicle/equipment and could be expected to operate for the designated activity life in the application. **Intentional falsification of these forms will be prosecuted to the extent allowed under the law and may be used as an adverse factor in future grant selection decisions.**

Printed Name:

Name of Service Company:

Phone Number:

Street Address:

City, State, and Zip Code:

What makes you qualified to assess the condition of the vehicle/equipment?

Describe the certifications and/or experience you have that makes you qualified to assess the condition of the diesel vehicle/equipment.

Mechanic Signature:

Date:

Faxed or photocopied signature pages will not be accepted. This form must have an original signature, or the application will not be accepted.

Rebate Grant Application

Repower of Heavy Duty Vehicle/Equipment

This page should be used as a checklist before grant application submission.

1. Signature Pages

Below is a list of all the pages that require a signature. Signature pages must include original signatures in signature blocks. No photocopies, faxes, scanned copies, or other copies of required signatures will be accepted. If a signature page is missing or has been altered, the application will not be considered.

Signature Page (Authorized Official)

Third-Party Preparer Signature Page (Third-Party Preparer, if applicable)

Certification of Eligibility to Receive a State-Funded Grant (Authorized Official)

Vehicle Certification (Mechanic)

W-9 Form (Authorized Official)

2. Required Attachments for ALL Applications

W-9 Form (Request for Taxpayer Identification Number and Certification Form)

<https://www.irs.gov/pub/irs-pdf/fw9.pdf>

Copy of state or federal issued identification card (if applicant is individual or sole proprietor)

Color photographs of the vehicle/equipment showing the (1) front, (2) right side, (3) left side, (4) rear, and (5) engine. Tires should be visible in all photographs. The photograph of the engine should include an engine plate or any other identifiable feature. For on-road vehicles, the vehicle registration sticker and license plate should be visible in the photographs.

A detailed original price quote(s) for the repower of the vehicle/equipment.

3. Required Attachments for ON-ROAD Vehicle Applications

Copy of current title or lease agreements (vehicle leases only) listing the applicant as the owner or lessee. If the current title/lease agreement does not show ownership for at least two years prior to the opening of this grant round, copies of previous title or lease documents.

Copy of current registration document or receipt, if registration is required for the equipment being replaced. If registration was renewed within the last six months, attach copies of previous registration documentation to show continuous registration for two years.

Applicants with apportioned vehicles must submit annual usage summaries that include accurate dates and miles driven in each registered state. Acceptable usage documentation may include Individual Vehicle Distance Records (IVDR) required under the International Registration Plan (IRP), and other similar travel records.

4. Required Attachments for NON-ROAD Equipment Applications

For equipment that is not titled, copy of bill of sale or other documents showing ownership for previous two years

5. Hurricane Harvey Exception

Applicants applying under this exception should complete Supplemental Form 1: Hurricane Harvey Exception, and submit the form with the required project application. Refer to Appendix E of the RFGA for additional information.

6. Waiver for Ownership, Registration, Use, or Condition Requirement

Check the boxes that apply. Refer to Appendix C of the RFGA for an explanation of the waiver requirements.

Ownership:	
Registration (on-road vehicles):	
Operation in Texas (Form 4, #1):	
Condition (Form 4, #2):	
Continued Operation and Use (Form 4, #3):	